

### Article

## **Exploiting Machine Learning For Improving In-memory Execution of Data-intensive Workflows on Parallel Machines**

Riccardo Cantini<sup>1</sup><sup>(b)</sup>, Fabrizio Marozzo <sup>1</sup><sup>(b)</sup>, Alessio Orsino <sup>1</sup><sup>(b)</sup>, Domenico Talia <sup>1,\*</sup><sup>(b)</sup> and Paolo Trunfio <sup>1</sup><sup>(b)</sup>

<sup>1</sup> DIMES, University of Calabria, Rende, Italy

\* Correspondence: talia@dimes.unical.it

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Abstract: Workflows are largely used to orchestrate complex sets of operations required to handle and process huge amounts of data. Parallel processing is often vital to reduce execution time when complex data-intensive workflows must be run efficiently, and at the same time in-memory processing can bring important benefits to accelerate execution. However, optimization techniques are necessary to fully exploit in-memory processing avoiding performance drops due to memory saturation events. This paper proposes a novel solution, called Intelligent In-memory Workflow Manager (IIWM),

- for optimizing the in-memory execution of data-intensive workflows on parallel machines. IIWM
- is based on two complementary strategies: 1) a machine learning strategy for predicting memory
- occupancy and execution time of workflow tasks; 2) a scheduling strategy that allocates tasks to
- <sup>10</sup> a computing node taking into account the (predicted) memory occupancy and execution time of
- each task, and the memory available on that node. The effectiveness of the machine learning-based
- <sup>12</sup> predictor and the scheduling strategy are demonstrated experimentally using as a testbed Spark,
- a high-performance Big Data processing framework that exploits in-memory computing to speed
- up execution of large-scale applications. In particular, two synthetic workflows have been prepared
   for testing the robustness of IIWM in scenarios characterized by a high level of parallelism and a
- limited amount of memory reserved for execution. Furthermore, a real data analysis workflow has
- been used as a case study, for better assessing the benefits of the proposed approach. Thanks to high
- accuracy in predicting resources used at runtime, IIWM was able to avoid disk writes caused by
- memory saturation, outperforming a traditional strategy in which only dependencies among tasks
- <sup>20</sup> are taken into account. Specifically, IIWM achieved up to 31% and 40% reduction of makespan and a
- performance improvement up to 1.45x and 1.66x on the synthetic workflows and the real case study
- <sup>22</sup> respectively.

**Keywords:** Workflow, Data-intensive, In-memory, Machine Learning, Apache Spark, Scheduling.

### 24 1. Introduction

A data-intensive workflow is the description of a process that usually involves a set of computational steps implementing complex scientific functions, such as data acquisition, transformation, analysis, storage, and visualization [1]. Parallelism can be achieved by concurrently executing independent tasks by trying to make use of all computing nodes, even if, in many cases, it is necessary to execute multiple tasks on the same computing node [2]. For example, this occurs when the number of tasks is greater than the number of available nodes, or because multiple tasks use a dataset located on the same node. These scenarios are prone to memory saturation and moving data to disk may result in higher execution times, which leads to the need for a scheduling strategy able to

<sup>33</sup> cope with this issue [3,4].

In most cases, distributed processing systems use a-priori policies for handling task execution and 34 data management. For example, in the MapReduce programming model used by Hadoop, mappers 35 write intermediate results after each computation so performing disk-based processing with partial use 36 of memory [5] through the exploitation of the Hadoop Distributed File System (HDFS). On the other 37 hand, Apache Spark<sup>1</sup>, that is a state-of-the-art data analysis framework for large-scale data processing 38 exploiting in-memory computing, relies on a Directed Acyclic Graph (DAG) paradigm and is based 39 on: i) an abstraction for data collections which enables parallel execution and fault-tolerance, named 40 Resilient Distributed Datasets (RDDs) [6]; ii) a DAG engine, that manages the execution of jobs, stages and tasks. Besides, it provides different storage levels for data caching and persistence, while 42 performing in-memory computing with partial use of the disk. The Spark in-memory approach is 43 generally more efficient, but a time overhead may be caused by spilling data from memory to disk 44 when memory usage exceeds a given threshold [7]. This overhead can be significantly reduced if 45 memory occupancy of a task is known in advance, to avoid running in parallel two or more tasks that 46 cumulatively exceed the available memory, thus causing data spilling. For this reason, memory is 47 considered a key factor for performance and stability of Spark jobs and Out-of-Memory (OOM) errors 48 are often hard to fix. Recent efforts have been oriented towards developing prediction models for the 49 performance estimation of Big Data applications, although most of the approaches rely on analytical 50 models and only a few recent studies have investigated the use of supervised machine learning models 51 [8–10]. 52

In this work we propose a system, named *Intelligent In-memory Workflow Manager* (IIWM), specially designed for improving application performance through intelligent usage of memory resources. This is done by identifying clusters of tasks that can be executed in parallel on the same node, optimizing in-memory processing so avoiding the use of disk storage. Given a data-intensive workflow, IIWM exploits a regression model for estimating the amount of memory occupied by each workflow task and its execution time. This model is trained on a log of past executed workflows, represented in a transactional way through a set of relevant features that characterize the considered workflow, such as:

• Workflow structure, in terms of tasks and data dependencies.

Input format, such as the number of rows, dimensionality, and all other features required to
 describe the complexity of input data.

Type of the tasks, i.e., the computation performed by a given node of the workflow. For
 example, in the case of data analysis workflows, we can distinguish among supervised learning,
 unsupervised learning, and association rules discovery tasks, and also between learning and
 prediction tasks.

Predictions made for a given computing node are applicable to all computing nodes of the same 67 type (i.e., having the same architecture, processor type, operating systems, memory resources), which 68 makes the proposed approach effectively usable on large-scale homogeneous HPC systems composed 69 of many identical servers. Given a data-intensive workflow, IIWM exploits the estimates coming 70 from the machine learning model for producing a scheduling plan aimed at reducing (and, in most 71 cases, avoiding) main memory saturation events, which may happen when multiple tasks are executed 72 concurrently on the same computing node. This leads to the improvement of application performance, 73 as swapping or spilling to disk caused by main memory saturation may result in significant time overhead, which can be particularly costly when running workflows involving very large datasets 75 and/or complex tasks. 76

IIWM has been experimentally evaluated using as a testbed Spark, which is expected to become the
 most adopted Big Data engine in the next few years [11]. In particular, we assessed the benefits coming
 from the use of IIWM by executing two synthetic workflows specially generated for investigating

https://spark.apache.org/

specific scenarios related to the presence of a high level of parallelism and a limited amount of memory 80 reserved for execution. The effectiveness of the proposed approach has been further confirmed 81 through the execution of a real data mining workflow as a case study. We carried out an in-depth 82 comparison between IIWM and a traditional blind scheduling strategy, which only considers workflow 83 dependencies for the parallel execution of tasks. The proposed approach showed to be the most 84 suitable solution in all evaluated scenarios outperforming the blind strategy thanks to high accuracy 85 in predicting resources used at runtime, which leads to the minimization of disk writes caused by 86 memory saturation. 87 The rest of the paper is organized as follows. Section 2 discusses related work. Section 3 describes 88

the proposed system. Section 4 presents and discusses the experimental results. Section 5 concludes
the paper.

### 91 1.1. Problem statement

The problem addressed in this study consists in the optimization of the in-memory execution of data-intensive workflows, evaluated in terms of makespan (i.e., the total time required to process all given tasks), and application performance. The main reason behind the drop in performance in such workflows is related to the necessity of swapping/spilling data to disk when memory saturation events occur. To cope with this issue, we propose an effective way of scheduling a workflow that minimizes the probability of memory saturation, while maximizes in-memory computing and thus performance. A workflow W can be represented using a DAG, described by a set of tasks  $\mathcal{T} = \{t_1, t_2, ..., t_n\}$ 

(i.e., vertices) and dependencies among them  $\mathcal{A} \subseteq (\mathcal{T} \times \mathcal{T}) = \{a_1, \dots, a_m\}$ :  $a_i = (t_i, t_j), t_i \in \mathcal{T}, t_j \in \mathcal{T}$ (i.e., directed edges). Specifically, data dependencies (i.e., all the input data of a task have already been made available) have to be considered rather than control dependencies (i.e., all predecessors of a task must be terminated before it can be executed), as we refer to data-intensive workflows [12].

Formally, given a set of q computing resources  $R = \{r_1, \ldots, r_q\}$ , workflow scheduling can be 1 04 defined as the mapping  $\mathcal{T} \to R$  from each task  $t \in \mathcal{T}$  to a resource  $r \in R$ , so as to meet a set of specified 105 constraints which influence the choice of an appropriate scheduling strategy [13]. Workflow scheduling 106 techniques are often aimed at optimizing several factors, including makespan and overall cost that 107 in turn depend on data transfer and compute cost [14]. In this study, a multi-objective optimization 108 has been applied, jointly minimizing execution time and memory saturation. This is achieved by 109 using a scheduling strategy that exploits a regression model aimed at predicting the behavior of a 110 given workflow, in terms of resource demand and execution time (see Section 3). For the Reader's 111 convenience, Table 1 shows the meaning of the main symbols used in the paper. 112

Symbol	Meaning
$\mathcal{T} = \{t_1, t_2, \dots, t_n\}$	Set of tasks.
$\mathcal{A} \subseteq (\mathcal{T} \times \mathcal{T}) = \{a_1, \dots, a_m\}$	Dependencies. $a_i = (t_i, t_j), t_i \in \mathcal{T}, t_j \in \mathcal{T}$ .
$d_t$	Description of the dataset processed by task <i>t</i> .
$\mathcal{W} = (\mathcal{T}, \mathcal{A})$	Workflow.
$\mathcal{N}^{in}(t) = \{ t' \in \mathcal{T} \mid (t', t) \in \mathcal{A} \}$	In-neighbourhood of task <i>t</i> .
$\mathcal{N}^{out}(t) = \{t' \in \mathcal{T} \mid (t,t') \in \mathcal{A}\}$	Out-neighbourhood of task <i>t</i> .
$\mathcal{M}$	Regression prediction model.
$S = \langle s_1, \ldots, s_k \rangle$	List of stages. $s_i \subseteq \mathcal{T} \mid (t_x \parallel t_y) \forall t_x, t_y \in s_i$ .
С	Maximum amount of memory available for a computing node.
$C_s = C - \sum_{t \in s} \mathcal{M}.predict\_mem(t, d_t)$	Residual capacity of a stage <i>s</i> .

Table 1. Meaning of the main symbols.

### 113 2. Related work

Recent studies have shown the effectiveness of machine learning-based prediction modelling in 114 supporting code optimization, parallelism mapping, task scheduling, and processor resource allocation 115 [10]. Moreover, predicting running times and memory footprint is important for estimating the cost of 116 execution and better managing resources at runtime [11]. For instance, in-memory data processing 117 frameworks like Spark can benefit from informed co-location of tasks [10]. In fact, if too many 118 applications or tasks are assigned to a computing node, such that the memory used on the host exceeds 119 the available one, memory paging to disk (i.e., swapping), data spilling to disk in Spark, or OOM 120 errors can occur with consequential drops of performance. 121

Our work focuses on improving the performance of a Spark application using machine learning-based techniques. The challenge is to effectively schedule tasks in a data-intensive workflow for improving resource usage and application performance, by inferring the resource demand of each task, in terms of memory occupancy and time.

State-of-the-art techniques aimed at improving the performance of data-intensive applications can be divided into two main categories: *analytical-based* and *machine learning-based*. For each category, the main proposed solutions and their differences with respect to our technique are discussed.

### 129 2.1. Analytical-based

Techniques in this category use information collected at runtime and statistics in order to tune a
 Spark application, improving its performance as follows:

- Choosing the serialization strategy for caching RDDs in RAM, based on previous statistics
   collected on different working sets, such as memory footprint, CPU usage, RDDs size,
   serialization costs, etc. [15,16].
- Dynamically adapting resources to data storage, using a feedback-based mechanism with real-time monitoring of memory usage of the application [17].
- Scheduling jobs by dynamically adjusting concurrency through a feedback-based strategy. Taking
   into account memory usage via garbage collection, network I/O, and Spark RDDs lineage
   information, it is possible to choose the number of tasks to assign to an executor [18,19].

The aforementioned works use different strategies to improve in-memory computing of Spark that 140 exploit static or dynamic techniques able to introduce some information in the choice of configuration 141 parameters. However, no prediction models are employed and this may lead to unpredicted behaviors. 142 IIWM, instead, uses a prediction regression model to estimate a set of information about a running 143 Spark application, exploiting it to optimize in-memory execution. Moreover, unlike real time adapting 144 strategies, which use a feedback-based mechanism by continuously monitoring the execution, the 145 IIWM model is trained offline, achieving fast and accurate predictions while used for inferring the 146 resource demand of each task in a given workflow. 147

### 148 2.2. Machine learning-based

These techniques are based on the development of learning models for predicting performance (mainly memory occupancy and execution time) of a large set of different applications in several scenarios, on the basis of prior knowledge. This enables the adoption of a *performance-centric* approach [8], based on an informed performance improvement, which can be beneficial for the execution of data-intensive applications, especially in the context of HPC systems.

Several techniques use collaborative filtering to identify how well an application will run on a computing node. For instance, *Quasar* [8] uses classification techniques based on collaborative filtering to determine the characteristics of the running application in allocating resources and assigning tasks. When submitted, a new application is shortly profiled and the collected information is combined with the classification engine, based on previous workloads, to support a greedy scheduling policy that improves throughput. Application is monitored throughout the execution to adjust resource allocation

and assignment if required, using a single model for the estimation. Adapting this technique to Spark 160 can help to assign tasks to computing nodes within the memory constraints and avoid exceeding the 161 capacity, thus causing spilling of data to disk. Another approach based on collaborative filtering has 162 been proposed by Llull et al. [9]. In this case, the task co-location problem is modelled as a cooperative 163 game and a game-theoretic framework, namely Cooper, is proposed for improving resource usage. The 164 algorithm builds pairwise coalitions as stable marriages to assign an additional task to a host based 165 on its available memory, and the Spark default scheduler is adopted to assign tasks. In particular, a 166 predictor receives performance information collected offline and estimates which co-runner is better, in order to find stable co-locations. 168

Moving away from collaborative filtering, Marco et al. [10] present a mixture-of-experts approach 169 to model the memory behavior of Spark applications. It is based on a set of memory models (i.e., linear 170 regression, exponential regression, Napierian logarithmic regression) trained on a wide variety of 171 applications. At runtime, an expert selector based on k-nearest neighbour (kNN) is used to choose the 172 model that best describes memory behavior, in order to determine which tasks can be assigned to the 173 same host for improving throughput. The memory models and expert selector are trained offline on 1 74 different working sets, recording the memory used by a Spark executor through the Linux command 175 "/proc". Finally, the scheduler uses the selected model to determine how much memory is required 176 for an incoming application, for improving server usage and system throughput. 177

Similarly to machine learning-based techniques, IIWM exploits a prediction model trained on 178 execution logs of previous workflows, however it differs in two main novel aspects: i) IIWM only uses 179 high-level workflow features, without requiring any runtime information as done in [8] and [10], in 180 order to avoid the overhead that could be not negligible for complex applications; *ii*) it provides an 181 algorithm for effectively scheduling a workflow in scenarios with limited computing resources. 182

As far as we know, no similar approaches in literature can be directly compared to IIWM in 183 terms of goals and requirements. In fact, differently from IIWM, Quasar [8] and Cooper [9] can be 1 84 seen as resource-efficient cluster management systems, aimed at optimizing QoS constraints and 185 resource usage. With respect to the most related work, presented in [10], IIWM presents the following 1 86 differences. 187

 It focuses on data-intensive workflows while in reference [10] general workloads are addressed. 1 8 8

- It uses high-level information for describing an application (e.g. task and dataset features), while 189 in reference [10] low-level system features are exploited, such as cache miss rate and number of 190
- blocks sent, collected by running the application on a small portion (100 MB) of the input data.
- It proposes a more general approach, since the approach proposed in [10] is only appliable to 192 applications whose memory usage is a function of the input size. 193
- 3. Materials and Methods 1 94
- 195

The Intelligent In-memory Workflow Manager (IIWM) is based on three main steps:

- 1. Execution monitoring and dataset creation: starting from a given set of workflows, a transactional 196
- dataset is generated by monitoring the memory usage and execution time of each task, specifying 197 how it is designed and giving concise information about the input. 198
- 2. *Prediction model training*: from the transactional dataset of executions, a regression model is 199 trained in order to fit the distribution of memory occupancy and execution time, according to the 200 features that represent the different tasks of a workflow. 201
- 2 0 2 3. Workflow scheduling: taking into account the predicted memory occupancy and execution time of each task, provided by the trained model, and the available memory of the computing node, 203 tasks are scheduled using an informed strategy. In this way, a controlled degree of parallelism 204 can be ensured, while minimizing the risk of memory saturation. 205
- In the following sections, a detailed description of each step is provided. 206

### 207 3.1. Execution monitoring and dataset creation

The first step in IIWM consists of monitoring the execution of different tasks on several input 208 datasets with variable characteristics, in order to build a transactional dataset for training the regression 209 model. The proposed solution was specifically designed for supporting the efficient execution of data 210 analysis tasks, which are used in a wide range of data-intensive workflows. Specifically, it focuses 211 on three classes of data mining tasks: classification tasks for supervised learning, clustering tasks for 212 unsupervised learning and association rules discovery. Using Spark as a testbed, the following data 213 mining algorithms from the MLlib<sup>2</sup> library have been used: Decision Tree, Naive Bayes, and Support 214 Vector Machines (SVM) for classification tasks; K-Means and Gaussian Mixture Models (GMM) for 215 clustering tasks; FPGrowth for association rules tasks. 216

### 217 3.1.1. Execution monitoring within the Spark unified memory model

As far as execution monitoring is concerned, a brief overview of Spark unified memory model is 218 required. In order to avoid OOM errors, Spark uses up to 90% of the heap memory, which is divided 219 into three categories: reserved memory (300 MB), used to store Spark internal objects; user memory (40% 220 of heap memory), used to store data structures and RDDs computed during transformations and 221 actions; spark memory (60% of heap memory), divided in execution and storage. The former refers to that 222 used for computation during shuffle, join, sort, and aggregation processes, while the latter is used for 223 caching RDDs. It is worth noting that, when no execution memory is used, storage can acquire all the 2 2 4 available memory and vice versa. However, storage may not evict execution due to complexities in 225 implementation, while stored data blocks are evicted from main memory according to a Least Recently 226 Used (LRU) strategy. 227

The occupancy of storage memory relies on the persistence operations performed natively by the algorithms. Table 2 reports some examples of data caching implemented in the aforementioned MLlib algorithms. In particular, the *cache()* call corresponds to *persist(StorageLevel.MEMORY\_AND\_DISK)*, where MEMORY\_AND\_DISK is the default storage level used for the recent API based on DataFrames.

MLlib algorithm	Persist call
K-Means	//Compute squared norms and cache them norms.cache()
DecisionTree	//Cache input RDD for speed-up during multiple passes BaggedPoint.convertToBaggedRDD(treeInput,).cache()
GMM	instances.cache() data.map(asBreeze).cache()
FPGrowth	items.cache()
SVM	$\label{eq:stanceBlock.blok} Is tance Block.blok if y With Max Mem Usage (). cache ()$

Table 2. Examples of *persist* calls in MLlib algorithms.

According to the Spark unified memory model, the execution monitoring was made via the Spark

REST APIs, which expose executor-level performance metrics, collected in a JSON file, including peak

<sup>234</sup> occupancy for both execution and storage memory along with execution time.

235 3.1.2. Dataset creation

<sup>236</sup> Using the aforementioned Spark APIs, we monitored the execution of several MLlib algorithms <sup>237</sup> on different input datasets, covering the main data mining tasks, i.e. classification, clustering, and

<sup>&</sup>lt;sup>2</sup> https://spark.apache.org/mllib/

association rules. The goal of this process is the creation of a transactional dataset for the regressionmodel training, which contains the following information:

- The description of the task, such as its class (e.g., classification, clustering, etc.), type (fitting or predicting task), and algorithm (e.g., SVM, K-Means, etc.).
- The description of the input dataset in terms of the number of rows, columns, categorical columns and overall dataset size.
- Peak memory usage (both execution and storage) and execution time, which represent the three
- target variables to be predicted by the regressor. In order to obtain more significant data, the
- <sup>246</sup> metrics were aggregated on median values by performing ten executions per task.

Task	Task	Task	Dataset	Dataset	Categorical	Dataset	Peak Storage	Peak Execution	
Name	Туре	Class	Rows	Columns	Columns	Size (MB)	Memory (MB)	Memory (MB)	Duration (ms)
GMM	Estimator	Clustering	1474971	28	0	87.0045	433.37	1413.5	108204
K-Means	Estimator	Clustering	5000000	104	0	1239.78	4624.52	4112	56233.5
DecisionTree	Estimator	Classification	9606	1921	0	84.9105	730.09	297.895	39292
NaiveBayes	Estimator	Classification	260924	4	0	13.4986	340.92	6982.82	16531.5
SVM	Estimator	Classification	5000000	129	0	1542.58	6199.11	106.6	238594.5
FPGrowth	Estimator	AssociationRules	823593	180	180	697	9493.85	1371.03	96071.5
GMM	Transformer	Clustering	165474	14	1	6.36604	2.34	1e-06	62.5
K-Means	Transformer	Clustering	4898431	42	3	648.887	3.23	1e-06	35
DecisionTree	Transformer	Classification	1959372	42	4	257.686	3.68	1e-06	65.5
NaiveBayes	Transformer	Classification	347899	4	0	17.9982	4.26	1e-06	92.5
SVM	Transformer	Classification	5000000	129	0	1542.58	2.36	1e-06	55.5
FPGrowth	Transformer	AssociationRules	136073	34	34	13.5493	1229.95	633.5	52429

For the sake of clarity, table 3 shows a sample of the dataset described above.

**Table 3.** A sample of the training dataset.

Starting from 20 available datasets, we divided them into two partitions used for training and testing respectively. Afterwards, an oversampling procedure was performed, aimed at increasing the number of datasets contained in the partitions. Specifically, a naive random sampling approach can lead to unexpected behaviors regarding the convergence of algorithms, thus introducing noise into the transactional dataset used to build the regression model. To cope with this issue, we used the following feature selection strategy:

- For datasets used in classification or regression tasks we considered only the *k* highest scoring features based on:
- analysis of variance (F-value) for integer labels (classification problems);
  - correlation-based univariate linear regression test for real labels (regression problems).
- For clustering datasets we used a correlation-based test to maintain the *k* features with the smallest probability to be correlated with the others.
- For association rules discovery datasets no features selection is required, as the number of columns refers to the average number of items in the different transactions.

The described procedure has been applied separately on the training and test partitions, so as to avoid the introduction of bias into the evaluation process. Specifically, the number of datasets in the training and test partitions has increased from 15 to 260 and from 5 to 86 respectively. Subsequently, we fed these datasets to the MLlib algorithms, obtaining two final transactional datasets of 1309 and 309 monitored executions, used for training and testing the regressor, respectively.

267 3.2. Prediction model training

257

Once the training and test datasets with memory and time information were built, a regression model can be trained with the goal of estimating peak memory occupancy and turnaround time of a task in a given workflow.

As a preliminary step, we analyzed the correlation between the features of the training data and each target variable, using the Spearman index. We obtained the following positive correlations: a value of 0.30 between storage memory and the input dataset size, 0.46 between execution memory and

the task class and 0.21 between execution time and the number of columns. These results can be seen

<sup>275</sup> in detail in Figure 1.



Figure 1. Correlation of target variables with the other features.

Afterwards we moved to the training of the regression model. Due to its complexity, the regression 276 problem can not be faced with a simple linear regressor or its regularized variants (e.g. Ridge, Lasso or 277 ElasticNet), but a more robust model is necessary. We experimentally evaluated this aspect by testing 278 the forecasting abilities of these linear models achieving poor results. For this reason, an ensemble 279 learning model has been used in order to fit the nonlinear distribution of features. Specifically, the 280 stacking technique (meta learning) [20] has been used by developing a two-layer model in which a set 281 of regressors are trained on the input dataset and a Decision Tree is fitted on their predictions. The first 282 layer consists of three tree-based regressors, able to grasp different aspects of input data: a Gradient 283 Boosting, an AdaBoost and an Extra Trees regressor. The second layer exploits a single Decision Tree 284 regressor, which predicts the final value starting from the concatenation of the outputs from the first 285 layer. The described ensemble model has been set with the hyper-parameters shown in Table 4. 286

Value
500
0.01
7
least squares

Table 4. Hyper-parameters.

Among 20 trained models, initialized with different random states, we selected the best one by maximizing the following objective function:

$$\mathcal{O} = \bar{R}^2 - MAE$$

whose goal is to choose the model that best explains the variance of data, while minimizing the forecasting error. This function jointly considers the adjusted determination coefficient ( $\bar{R}^2$ ), which guarantees robustness with respect to the addition of useless variables to the model compared to the classical  $R^2$  score, and the mean absolute error (*MAE*), normalized with respect to the maximum.

The described model has been developed in *Python3* using the *scikit-learn*<sup>3</sup> library and evaluated against the test set of 309 unseen executions obtained as described in Section 3.1.2. Thanks to the

<sup>&</sup>lt;sup>3</sup> https://scikit-learn.org/stable/

# combination of different models, the ensemble technique showed to be very well suited for this task, leading to good robustness against outliers and a high forecasting accuracy, as shown in Figure 2.



Figure 2. Meta-learner regression estimates for the different target variables.

These results are detailed in Table 5, which shows the evaluation metrics for each target variable, including the  $\bar{R}^2$  score and the Pearson correlation coefficient. In particular, the Mean Absolute Error (MAE) and the Root Mean Square Error (RMSE) for the storage and execution memory represent average errors in megabytes, while for the duration they represent errors in milliseconds.

	RMSE	MAE	Adjusted R <sup>2</sup>	Pearson Correlation
Storage Memory	108.23	26.66	0.96	0.98
Execution Memory	312.60	26.30	0.91	0.95
Duration	4443.17	2003.70	0.95	0.98

Table 5. Evaluation metrics on the to	est set.
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### 299 3.3. Workflow scheduling

The prediction model described in Section 3.2 can be exploited to forecast the amount of memory 300 that will be needed to execute a given task on a target computing node and its duration, based on 301 the task features listed in Section 3.1. These predictions are then used within the scheduling strategy 302 described in the following, whose goal is to avoid swapping to disk due to memory saturation in order 303 to improve application performance and makespan through a better use of in-memory computing. 304 The results discussed below refer to a static scheduling problem, as the scheduling plan is generated 305 before the execution. In typical static scheduling the workflow system has to predict the execution 306 load of each task accurately, using heuristic-based methods [21]. Likewise, in the proposed method the 307 execution load of each task of a given workflow is predicted by the model trained on past executions. 308 Moreover, we investigated how workflow tasks can be scheduled and run on a single computing node, 309 but this approach can be easily generalized to a multi-node scenario. For example, a data-intensive 310 workflow can be decomposed into multiple sub-workflows to be run on different computing nodes 311 according to their features and data locality. Each sub-workflow is scheduled locally to the assigned 312 node using the proposed strategy. 313

In IIWM, we modelled the scheduling problem as an offline Bin Packing (BP). This is a well-known 314 problem, widely used for resource and task management or scheduling, such as load balancing in 315 mobile cloud computing architectures [22], energy-efficient execution of data-intensive applications 316 in clouds [23], DAGs real-time scheduling in heterogeneous clusters [24] and task scheduling in 317 multiprocessor environments [25]. Its classical formulation is as follows [26]. Let *n* be the number 318 of items,  $w_i$  the weight of the *j*-th item and c the capacity of each bin: the goal is to assign each item 319 to a bin without exceeding the capacity *c* and minimizing the number of used bins. The problem is 320  $\mathcal{NP}$ -complete and a lot of effort went into finding fast algorithms with near-optimal solutions. We 321 adapted the classical problem to our purposes as follows: 322

- An item is a *task* to be executed.
- A bin identifies a *stage*, i.e. a set of tasks that can be run in parallel.
- The capacity of a bin is the maximum amount *C* of available memory in a computing node. When assigning a task to a stage  $s \in S$ , its residual available memory will be indicated with  $C_s$ .
- The weight of an item is the *memory occupancy* estimated by the prediction model. In the case of Spark testbed, it will be the maximum of the execution and storage memory, in order to model a peak in the unified memory. For what concerns the estimated *execution time*, it is used for selecting the stage to be assigned when memory constraints hold for multiple stages.
- <sup>331</sup> With respect to the classical BP problem two changes were introduced:
- All workflow tasks have to be executed, so the capacity of a stage may still be exceeded if a task takes up more memory than the available one.
- The assignment of a task t to a stage s is subjected to dependency constraints. Hence, if a dependency exists between  $t_i$  and  $t_j$ , then the stage of  $t_i$  has to be executed before the one of  $t_j$ .

To solve the BP problem, modelled as described above, in order to produce the final scheduling 336 plan, we used the First Fit Decreasing algorithm which assigns tasks sorted in non-increasing order of 337 weight. However, the introduction of dependency constraints in the assignment process may cause the 338 under-usage of certain stages. To cope with this issue, we introduced a further step of consolidation, 339 aimed at reducing the number of stages by merging together stages without dependencies according 340 to the available memory. The main execution flow of the IIWM scheduler is shown in Figure 3 and described by Algorithm 1. In particular, given a data-intensive workflow  $\mathcal{W}$ , described as a DAG by 342 its tasks and dependencies, and the prediction model  $\mathcal M$  as input, a scheduling plan is generated in 343 two steps: *i*) building of the stages and task assignment; *ii*) stage consolidation. 344



**Figure 3.** Execution flow of the IIWM scheduler. Given a workflow and a prediction model as input, a scheduling plan is generated in two steps: *i*) building of the stages and task assignment; *ii*) stage consolidation.

The algorithm is divided into two main parts: in the first part (lines 1-23), the stages are built by iteratively assigning each task according to the estimates of the prediction model; in the second part (lines 25-34), a consolidation process is performed, trying to minimize the number of stages.

The first part (lines 1-23) starts with the initialization of an empty list of stages S, which will be 348 filled according to a dictionary Q that stores the in-degree of each task in the DAG, which is used for identifying the free tasks which can be scheduled. The prediction model  $\mathcal{M}$  is exploited to estimate 350 the memory occupancy and execution time of each task in  $\mathcal{T}$ , according to their dataset description 351 (lines 3-4). The dictionary  $\mathcal{P}_{mem}$ , which collects the predicted memory occupancies, is then used to 352 sort tasks according to the First Fit Decreasing strategy (line 5). At each iteration, tasks that can be 353 scheduled (i.e., assigned to a stage) are collected in the  $\mathcal{T}_{free}$  set. In particular, they are identified by 354 a zero in-degree, as their execution does not depend on others (line 7). By virtue of the acyclicity of 355 the DAG-based workflow representation, there will always exist a task  $t \in \mathcal{T}$  with a zero in-degree 356 not yet scheduled, unless set  $\mathcal{T}$  is empty. Afterwards, the task with the highest memory occupancy is 357

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selected from  $\mathcal{T}_{free}$  in order to be scheduled (line 8). At this point, a list of candidate stages ( $S_{sel}$ ) for the selected task is identified according to the peak memory occupancy forecasted by the prediction model  $\mathcal{M}$  (lines 9-10). In particular, a stage  $s_i$  belongs to  $S_{sel}$  if it satisfies the following conditions:

- The residual capacity  $C_{s_i}$  of the selected stage  $s_i$  is not exceeded by the addition of the task t.
- There not exists a dependency between t and any task t' belonging to  $s_i$  and every subsequent
- stage  $(s_{i+1} \cup \cdots \cup s_k)$ , where a dependency  $(t', t)^n$  is identified by a path of length n > 0.

If there exist one or more candidate stages  $S_{sel}$  (line 11), the best one is chosen based on the 364 minimum *marginal increase*. Specifically, for each of these stages, the expected increase of the execution 365 time is estimated (lines 12-13), assigning the task t to the stage s with the lowest value (lines 14-16). 366 Otherwise (line 17), a newly created stage is allocated for t and added to the list S (lines 18-21). Once 367 the task t is assigned to the stage s, the residual capacity  $C_s$  is updated (lines 15, 20). Then, the residual 368 in-degree for every task in the out-neighbourhood of t (line 22) is decremented by updating the 369 dictionary Q, so as to allow the assignment of these tasks in the next iterations. Finally, the assigned 370 task *t* is removed from the set of workflow nodes  $\mathcal{T}$  (line 23). 371

### ALGORITHM 1: IIWM SCHEDULER

**Input:** Workflow  $\mathcal{W} = (\mathcal{T}, \mathcal{A})$ , Prediction model  $\mathcal{M}$ **Output:** A list of stages S1  $\mathcal{S} \leftarrow \emptyset$ 2  $\mathcal{Q} \leftarrow \langle t : |\mathcal{N}^{in}(t)|, \forall t \in \mathcal{T} \rangle$ 3  $\mathcal{P}_{mem} \leftarrow \langle t : \mathcal{M}.predict\_mem(t,d_t), \forall t \in \mathcal{T} \rangle$ ho Memory prediction for each task in  ${\mathcal T}$ 4  $\mathcal{P}_{time} \leftarrow \langle t : \mathcal{M}.predict\_time(t, d_t), \forall t \in \mathcal{T} \rangle$  $\triangleright$  Time prediction for each task in  ${\mathcal T}$ 5  $\mathcal{T} \leftarrow sort\_decreasing(\mathcal{T}, \mathcal{P}_{mem})$ while  $\mathcal{T} \neq \emptyset$  do 6  $\mathcal{T}_{free} \leftarrow \{t \in \mathcal{T} \mid \mathcal{Q}[t] == 0\}$ 7  $t \leftarrow get\_first(\mathcal{T}_{free})$ 8  $mem_t \leftarrow \mathcal{P}_{mem}[t]$ g  $\mathcal{S}_{sel} \leftarrow \{s_i \in \mathcal{S} \mid mem_t \le C_{s_i} \text{ and } \nexists (t', t)^n \in \mathcal{A}, n > 0, \forall t' \in s_i \cup s_{i+1} \cup \dots \cup s_k \}$ 10 if  $S_{sel} \neq \emptyset$  then 11 12 duration  $\leftarrow \langle s : \max_{t' \in s} \mathcal{P}_{time}[t'], \forall s \in \mathcal{S}_{sel} \rangle$ 13 *increase*  $\leftarrow \langle s : \max\{\mathcal{P}_{time}[t], duration[s]\} - duration[s], \forall s \in \mathcal{S}_{sel} \rangle$ 14  $s \leftarrow argmin_{s' \in S_{sel}}$  increase  $C_s \leftarrow C_s - mem_t$ 15  $s \leftarrow s \cup \{t\}$ 16 else 17  $s \gets \emptyset$ 18  $s \leftarrow s \cup \{t\}$ 19  $C_s \leftarrow C_s - mem_t$ 20  $\mathcal{S} \leftarrow \mathcal{S} \cup \{s\}$ 21  $\mathcal{Q}[t'] = \mathcal{Q}[t'] - 1, \ \forall t' \in \mathcal{N}^{out}(t)$ 22  $\mathcal{T} \leftarrow \mathcal{T} \setminus \{t\}$ 23 24 // Consolidation step  $S_{mov} \leftarrow \{s \in S \mid |\mathcal{N}^{out}(t)| = 0, \forall t \in s\}$ 25 if  $S_{mov} \neq \emptyset$  then 26 27 for  $s_i \in S_{mov}$  do for  $s_j \in \mathcal{S} \mid j > i$  do 28  $mem_{s_i \cup s_j} \leftarrow \sum_{t \in s_i \cup s_j} \mathcal{P}_{mem}[t]$ 29 if  $mem_{s_i \cup s_j} \leq C$  then 30 31  $s_i \leftarrow s_i \cup s_i$  $\mathcal{S} \leftarrow \mathcal{S} \setminus s_i$ 32 break 33 34 return S

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The second part of the algorithm (lines 25-34) performs a consolidation step with the goal of 372 reducing the number of allocated stages by merging some of them if possible, with a consequential improvement in the global throughput. The stages involved in the consolidation step, namely the movable stages ( $S_{mov}$ ), are those containing tasks with a zero out-degree (line 25). This means that no task in such stages blocks the execution of another one, so they can be moved forward and merged 376 with subsequent stages if the available capacity C is not exceeded. For each movable stage  $s_i$  (line 27), another stage  $s_i$  from S is searched among the subsequent ones, such that its residual capacity is enough to enable the merging with  $s_i$  (lines 28-30). The merging between  $s_i$  and  $s_j$  is performed by assigning to  $s_i$  each task of  $s_i$  (line 31), finally removing  $s_i$  from S (line 32). In the end, the list of stages 380  ${\cal S}$  built by the scheduler is returned as output. Given this scheduling plan, the obtained stages will be

executed in sequential order, while all the tasks in a stage will run concurrently. Compared to a blind strategy where the maximum parallelism is achieved by running in parallel 383 all the tasks not subjected to dependencies, which will be referred to as Full-Parallel in our experiments, 384 IIWM can reduce both delays of parallelization ( $\epsilon_v$ ), due to context switch and process synchronization, 385 and swapping/spilling to disk ( $\epsilon_s$ ), due to I/O operations. Delay  $\epsilon_p$  is always present in all scheduling 386 strategies when two or more tasks are run concurrently, while  $\epsilon_s$  is present only when a memory 387 saturation event occurs. Given  $\epsilon = \epsilon_p + \epsilon_s$ , IIWM mainly reduces  $\epsilon_s$ , which is the main factor behind 388 the drop in performance in terms of execution time, due to the slowness in accessing secondary storage. 389 As far as the Spark framework is concerned, the proposed strategy is effective for making the 390 most of the default storage level, i.e. MEMORY\_AND\_DISK: at each internal call of the cache() method, 391 data is saved in-memory as long as this resource is available, using disk otherwise. In this respect, 392 IIWM can reduce the actual persistence of data on disk by better exploiting in-memory computing. 393

#### 4. Results and Discussion 3 94

This section presents an experimental evaluation of the proposed system, aimed at optimizing the 395 in-memory execution of data-intensive workflows. We experimentally assessed the effectiveness of 396 IIWM using Apache Spark 3.0.1 as a testbed. In particular, we generated two synthetic workflows for 397 analyzing different scenarios, by assessing also the benefits coming from the use of IIWM using a real 398 data mining workflow as a case study. 399

In order to provide significant results, each experiment was executed ten times and the average 400 metrics with standard deviations are reported. In particular, for each experiment, we evaluated the 4 01 accuracy of the regression model in predicting memory occupancy and execution time. 4 0 2

We evaluated the ability of IIWM to improve application performance taking into account two 403 different aspects: 4 04

• *Execution time*: let  $m_1$  and  $m_2$  be the makespan for two different executions. If  $m_2 < m_1$  we can compute the improvement on makespan  $(m_{imv})$  and application performance  $(p_{imv})$  as follows:

$$m_{imp} = \frac{m_1 - m_2}{m_1} \times 100\%$$
  $p_{imp} = \frac{m_1}{m_2}$ 

*Disk usage:* we used the *on-disk usage* metric, which measures the amount of disk usage, jointly ٠ considering the volume and the duration of disk writes. Formally, given a sequence of disk writes  $w_1, ..., w_k$  let  $\tau'_i, \tau''_i \in \mathbb{T}$  be the start and end time of the  $w_i$  write respectively. Let also  $W : \mathbb{T} \to \mathbb{R}$  be a function representing the amount of megabytes written to disk over time  $\mathbb{T}$ . We define on-disk usage as:

on-disk usage = 
$$\sum_{i=1}^{k} \frac{1}{\tau_i^{''} - \tau_i^{'}} \int_{\tau_i^{'}}^{\tau_i^{''}} W(\tau) d\tau$$

Specifically, for each workflow we reported: i) a comparison between Full-Parallel and IIWM 4 0 5 in terms of disk usage over time; *ii*) a detailed description of the scheduling plan generated by both 406

strategies; *iii*) the average improvement on makespan and application performance with IIWM; *iv*)
statistics about the use of disk, such as the time spent for I/O operations and the *on-disk usage* metric;
v) the execution of the workflow by varying the amount of available memory, in order to show the
benefits of the proposed scheduler in different limited memory scenarios.

### 411 4.1. Synthetic workflows

We firstly evaluated our approach against two complex synthetic data analysis workflows, where the Full-Parallel approach showed its limitations due to a high degree of parallelism. The dependencies in these workflows should be understood as execution constraints. For instance, clustering has to be performed before classification for adding labels to an unlabelled dataset, or a classification task is performed after the discovery of association rules for user classification purposes.

<sup>417</sup> The first test has been carried out on a synthetic workflow with 42 nodes. Table 6 provides a <sup>418</sup> detailed description of each task in the workflow, while their dependencies are shown in Figure 4.

Node	Task Task Task Name Type Class		Rows	Columns	Categorical Columns	Dataset size (MB)	
to	NaiveBayes	Estimator	Classification	2939059	18	4	198.93904
$t_1$	FPGrowth	Estimator	AssociationRules	494156	180	180	417.01007
$t_2$	NaiveBayes	Estimator	Classification	5000000	27	0	321.86484
$t_3$	K-Means	Estimator	Clustering	1000000	104	0	247.95723
$t_4$	DecisionTree	Estimator	Classification	4000000	53	0	505.45
$t_5$	DecisionTree	Estimator	Classification	4000000	27	0	257.4918
t <sub>6</sub>	DecisionTree	Estimator	Classification	5000000	129	0	1542.5775
$t_7$	K-Means	Estimator	Clustering	2000000	53	0	252.72397
$t_8$	NaiveBayes	Estimator	Classification	2000000	104	0	495.9038
t <sub>9</sub>	NaiveBayes	Estimator	Classification	1000000	129	0	307.56625
t10	SVM	Estimator	Classification	2000000	53	0	252.72397
t <sub>11</sub>	K-Means	Estimator	Clustering	2049280	9	2	122.02598
t12	GMM	Estimator	Clustering	2458285	28	0	145.00838
t13	K-Means	Estimator	Clustering	9169	5812	1	101.88691
t14	SVM	Estimator	Classification	2000000	27	0	128.74657
t15	K-Means	Estimator	Clustering	3000000	104	0	743.87286
t <sub>16</sub>	SVM	Estimator	Classification	3000000	53	0	379.08823
t <sub>17</sub>	SVM	Estimator	Classification	14410	1921	0	127.3811
t18	K-Means	Estimator	Clustering	5000000	53	0	631.8128
t19	K-Means	Estimator	Clustering	5000000	104	0	1239.7812
t20	K-Means	Estimator	Clustering	2000000	78	0	371.93442
t21	SVM	Estimator	Classification	3000000	104	0	743.87286
t22	K-Means	Estimator	Clustering	2939059	18	4	198.93904
t23	SVM	Estimator	Classification	19213	1442	0	123.28475
t24	DecisionTree	Estimator	Classification	3000000	129	0	922.6897
t25	K-Means	Estimator	Clustering	1959372	26	4	189.5505
t26	DecisionTree	Estimator	Classification	4898431	18	4	331.56735
t27	NaiveBayes	Estimator	Classification	4898431	18	4	331.56735
t28	K-Means	Estimator	Clustering	2939059	34	4	334.91486
t29	K-Means	Estimator	Clustering	4898431	18	4	331.56735
t30	K-Means	Estimator	Clustering	1966628	42	0	170.48729
t31	NaiveBayes	Estimator	Classification	1959372	18	4	132.62437
t32	K-Means	Estimator	Clustering	3000000	78	0	557.9056
t33	DecisionTree	Estimator	Classification	3000000	53	0	379.08823
t 34	DecisionTree	Estimator	Classification	14410	2401	0	159.71497
t35	K-Means	Estimator	Clustering	2939059	42	4	386.53033
t36	DecisionTree	Estimator	Classification	2939059	34	4	334.91486
t37	DecisionTree	Estimator	Classification	4000000	129	0	1230.2445
t38	NaiveBayes	Estimator	Classification	1000000	53	0	126.36286
t39	GMM	Estimator	Clustering	1000000	53	0	126.36286
t40	DecisionTree	Estimator	Classification	2939059	18	4	198.93904
t <sub>41</sub>	K-Means	Estimator	Clustering	4898431	18	4	331.56735



**Figure 4.** Task dependencies (workflow 1).

Table 6. Task and dataset descriptions (workflow 1).

The first step is to predict the memory occupancy and execution time of each task of the workflow: the regression model was able to accurately estimate the peaks on storage and execution memory and the duration, as shown in Table 7.

	RMSE	MAE	Adjusted R <sup>2</sup>	Pearson Correlation
Storage Memory	246.63	95.6	0.96	0.98
Execution Memory	4.7	1.6	0.99	0.99
Duration	20354.38	7877.72	0.80	0.91

Table 7. Performance evaluation of the prediction model.

We firstly considered a configuration characterized by 14 GB available for running the workflow, which will be used up to 60% due to the Spark unified memory model. Table 8 shows an execution example with IIWM, focusing on its main steps: *i*) the scheduling of tasks based on their decreasing memory weight; *ii*) the allocation of a new stage; *iii*) the exploitation of the estimated execution time while computing the marginal increase. This last aspect can be clearly observed in iteration 17, where task  $t_{17}$  is assigned to stage  $s_7$ , which presents a marginal increase equal to zero. This is the best choice compared to the other candidate stage ( $s_6$ ), whose execution time would be increased by 12496 milliseconds by the assignment of  $t_{17}$ , with a degradation of the overall makespan.

Iteration	State	Stages
It. 0	$\mathcal{T}_{free}^{0} = \{t_0\}$ Create $s_0$ and assign $t_0$ Unlock $\{t_1, t_2, t_3\}$	$s_0 = \{t_0\}$
It. 1	$\mathcal{T}_{free}^{1} = \{t_{1}, t_{3}, t_{2}\}$ Create $s_{1}$ and assign $t_{1}$ Unlock $\{t_{4}\}$	$s_0 = \{t_0\}, s_1 = \{t_1\}$
It. 2	$\mathcal{T}_{free}^2 = \{t_3, t_4, t_2\}$ Create $s_2$ and assign $t_3$ Unlock $\{t_7, t_8, t_9, t_{10}\}$	$s_0 = \{t_0\}, s_1 = \{t_1\}, \\ s_2 = \{t_3\}$
It. 3	$\mathcal{T}_{free}^{3} = \{t_{7}, t_{4}, t_{10}, t_{2}, t_{8}, t_{9}\}$ Create $s_{3}$ and assign $t_{7}$	$s_0 = \{t_0\}, s_1 = \{t_1\}, \\ s_2 = \{t_3\}, s_3 = \{t_7\}$
It. 4	$\mathcal{T}_{free}^{4} = \{t_4, t_{10}, t_2, t_8, t_9\}$ $\mathcal{S}_{sel} = \{s_2, s_3\}$ increase = $\{0, 0\}$ Assign $t_4$ to $s_2$	$s_0 = \{t_0\}, s_1 = \{t_1\}, s_2 = \{t_3, t_4\}, s_3 = \{t_7\}$
It. 17	$\mathcal{T}_{free}^{17} = \{t_{17}, t_{23}, t_8, t_9\}$ $\mathcal{S}_{sel} = \{s_6, s_7\}$ increase = {12496.363, 0} Assign $t_{17}$ to $s_7$ Unlock { $t_{25}$ }	$s_{0} = \{t_{0}\}, s_{1} = \{t_{1}, t_{2}\}, \\ s_{2} = \{t_{3}, t_{4}, t_{5}\}, \\ s_{3} = \{t_{7}, t_{10}, t_{6}\}, \\ s_{4} = \{t_{12}, t_{11}\}, s_{5} = \{t_{15}, t_{18}\}, \\ s_{6} = \{t_{19}, t_{22}\}, s_{7} = \{t_{24}, t_{16}, t_{17}\}$

**Table 8.** Example of execution of algorithm 1 at iteration level.

At the end of the process, a consolidation step is exploited for optimizing throughput and execution time, by merging two stages with zero out-degree with some tailing stages, so as to avoid the sequential execution of the two stages in favour of a parallel one.

Figure 5 shows disk occupancy throughout the execution. As a consequence of memory saturation, the execution of Full-Parallel resulted in a huge amount of disk writes, while IIWM achieved a null disk usage since no swapping occurred thanks to intelligent task scheduling. Thus, this translates into

<sup>436</sup> better use of in-memory computing.



Figure 5. Disk usage over time for Full-Parallel and IIWM.

These results can be clearly seen also in Table 9, which shows the scheduling plan produced by the IIWM scheduler, together with some statistics about execution times and the use of the disk. In particular, given the curves representing disk writes over time shown in Figure 5, *on-disk usage* graphically represents the sum, for each disk write, of the ratio between the area under the curve identified by a write and its duration. Compared to the Full-Parallel strategy, IIWM achieved better execution times and an improvement in application performance, with a boost of almost 1.45x ( $p_{imp}$ ) and a 31.15% reduction in time ( $m_{imp}$ ) on average.

Strategy	Task-scheduling plan	Number of stages	Time (min.)	Peak disk usage (MB)	Writes duration (min.)	On-disk usage (MB)
Full-Parallel		10	31.52 ± 0.6	356106.601	11.56	126867.065
ШWM	$ \begin{array}{c} (t_0), (t_1 \parallel t_2), (t_3 \parallel t_4 \parallel t_5), \\ (t_7 \parallel t_{10} \parallel t_6 \parallel t_8 \parallel t_9), \\ (t_{12} \parallel t_{11} \parallel t_{13}), (t_{15} \parallel t_{18}), (t_{19} \parallel t_{22} \parallel t_{23}), \\ (t_{24} \parallel t_{16} \parallel t_{17} \parallel t_{29}), (t_{25} \parallel t_{41}), (t_{30} \parallel t_{20}), \\ (t_{35} \parallel t_{21} \parallel t_{14}), (t_{28} \parallel t_{26} \parallel t_{27}), \\ (t_{33} \parallel t_{32} \parallel t_{34} \parallel t_{31}), (t_{37} \parallel t_{36}), \\ (t_{39} \parallel t_{38}), (t_{40}) \end{array} $	16	21.70 ± 0.63	0	0	0

Table 9. Scheduling plan and statistics about execution times and disk usage with 14 GB of RAM.

With different sizes of available memory, the Full-Parallel approach showed higher and higher execution times and disk writes as memory decreased, while IIWM was able to adapt the execution to available resources as shown in Figure 6, finding a good trade-off between the maximization of the parallelism and the minimization of the memory saturation probability. At the extremes, with unlimited available memory, or at least greater than that required to run the workflow, IIWM will perform as a full concurrent strategy, producing the same scheduling of Full-Parallel.



Figure 6. Average peak disk usage and execution time, varying the size of available memory.

The second synthetic workflow consists of the 27 tasks described by Table 10 and their dependencies, shown in Figure 7. This scenario is characterized by highly heavy tasks and very low resources, where the execution of a single task can exceed the available memory. In particular, task  $T_{18}$  has an estimated peak memory occupancy higher than Spark available unified memory of 5413.8 MB (i.e., corresponding to a heap size of 9.5 GB): this will bring the IIWM scheduling algorithm to allocate the task to a new stage, but memory will be saturated anyway.

In such a situation, data spilling to disk cannot be avoided, but IIWM tries to minimize the number of bytes written and the duration of I/O operations. Even in this scenario, the prediction model achieved very accurate results, shown in Table 11, confirming its forecasting abilities.

Node	Task Name	Task Type	Task Class	Rows	Columns	Categorical Columns	Dataset size (MB)
$t_0$	K-Means	Estimator	Clustering	3918745	34	4	446.54932
$t_1$	DecisionTree	Estimator	Classification	4000000	27	0	257.4918
$t_2$	GMM	Estimator	Clustering	2458285	28	0	145.008
t <sub>3</sub>	DecisionTree	Estimator	Classification	3000000	53	0	379.08823
$t_4$	DecisionTree	Estimator	Classification	4000000	129	0	1230.24
$t_5$	DecisionTree	Estimator	Classification	3918745	18	4	265.2537
$t_6$	DecisionTree	Estimator	Classification	4898431	42	3	648.887
$t_7$	DecisionTree	Estimator	Classification	2939059	42	4	386.53033
$t_8$	K-Means	Estimator	Clustering	2458285	56	0	278.75266
t9	GMM	Estimator	Clustering	3000000	53	0	379.08823
t <sub>10</sub>	SVM	Estimator	Classification	4000000	53	0	505.45
$t_{11}$	K-Means	Estimator	Clustering	2939059	42	4	386.53033
t <sub>12</sub>	SVM	Estimator	Classification	2000000	53	0	252.72397
t <sub>13</sub>	K-Means	Estimator	Clustering	1639424	9	2	93.6976
$t_{14}$	NaiveBayes	Estimator	Classification	260924	3	0	10.3273
$t_{15}$	K-Means	Estimator	Clustering	2000000	78	0	371.93442
t <sub>16</sub>	DecisionTree	Estimator	Classification	3918745	26	4	379.1089
t <sub>17</sub>	DecisionTree	Estimator	Classification	3918745	34	4	446.54932
$t_{18}$	FPGrowth	Estimator	AssociationRules	823593	180	180	697.0
t19	DecisionTree	Estimator	Classification	2939059	26	4	284.33032
t20	SVM	Estimator	Classification	5000000	27	0	321.86484
$t_{21}$	FPGrowth	Estimator	AssociationRules	164719	180	180	139.871
t22	GMM	Estimator	Clustering	3000000	27	0	193.119
$t_{23}$	K-Means	Estimator	Clustering	4898431	26	4	473.88403
$t_{24}$	DecisionTree	Estimator	Classification	2000000	104	0	495.9038
$t_{25}$	K-Means	Estimator	Clustering	2458285	69	0	344.6047
$t_{26}$	FPGrowth	Estimator	AssociationRules	494156	180	180	417.01007



**Figure 7.** Task dependencies (workflow 2).

Table 10. Task and dataset descriptions (workflow 2).

	RMSE	MAE	Adjusted R <sup>2</sup>	Pearson Correlation
Storage Memory	213.81	78.92	0.98	0.99
Execution Memory	29.86	11.56	0.98	0.99
Duration	20086.80	9925.13	0.82	0.94

Table 11. Performance evaluation of the prediction model.

Figure 8 shows disk occupancy during the execution. As we can see, even IIWM cannot avoid
data spilling, even though its disk usage was much lower considering peak value and writes duration
compared to Full-Parallel.



Figure 8. Disk usage over time for Full-Parallel and IIWM.

Finally, Table 12 shows the statistics about disk usage and execution times. Again, IIWM achieved 462 better results with a boost in performance of almost  $1.30x (p_{imp})$  with respect to a Full-Parallel strategy 463 and a 23% reduction in time  $(m_{imp})$  on average. An interesting aspect that emerges from the behavior 4 64 of IIWM scheduler, in the task-scheduling plan, is the similarity with priority-based scheduling in 465 assigning tasks based on decreasing weights. In fact, tasks characterized by low memory occupancy 466 may be assigned to tailing stages even if they are close to the root (e.g., in Full-Parallel,  $t_1$  is executed 467 in the second stage, while in IIWM it is executed in the seventh one). Hence, in a dynamic scheduling 468 scenario where tasks can be added at runtime, IIWM may suffer from the starvation problem, as 469 such tasks may experiment an indefinite delay as far as new tasks with a higher memory weight 470

are provided to the scheduler. Nevertheless, in the proposed work we dealt with a static scheduling
problem, where all tasks are known in advance and the task-set is not modifiable at runtime.

Strategy	Task-scheduling plan	Number of stages	Time (min.)	Peak disk usage (MB)	Writes duration (min.)	On-disk usage (MB)
Full-Parallel	$\begin{array}{c} (t_0), (t_1 \parallel t_2 \parallel t_3 \parallel t_4), \\ (t_5 \parallel t_6 \parallel t_7 \parallel t_8 \parallel t_9 \parallel t_{10} \parallel t_{11} \parallel t_{12}), \\ (t_{13} \parallel t_{14} \parallel t_{15} \parallel t_{16} \parallel t_{17} \parallel t_{18} \parallel t_{19} \parallel t_{20}), \\ (t_{21}), (t_{22} \parallel t_{23} \parallel t_{24} \parallel t_{25}), (t_{26}) \end{array}$	7	$29.42 \pm 1.88$	27095.837	20.6	10593.79
IIWM		15	22.68 ± 1.65	304.5	3.6	60.82

Table 12. Scheduling plan and statistics about execution times and disk usage with 9.5 GB of RAM.

### 473 4.2. Real case study

In order to assess the performance of the proposed approach against a real case study, we used 474 a data mining workflow [27] that implements a model selection strategy for the classification of an 475 unlabelled dataset. Figure 9 shows a representation of the workflow designed by the visual language VL4Cloud [28]. A training set is divided into *n* partitions and *k* classification algorithms are fitted on 477 each partition for generating  $k \times n$  classification models. The  $k \times n$  fitted models are evaluated by a 478 model selector on a test set to choose the best model. Afterwards, the *n* predictors use the best model to 479 generate *n* classified datasets. The following *k* classification algorithms provided by the MLlib library 480 were used: Decision Tree with C4.5 algorithm, Support Vector Machines (SVM), and Naive Bayes. The 4 81 training set, test set and unlabelled dataset provided as input for the workflow have been generated 482 from the *Physical Unclonable Functions (PUFs)* [29] simulation through a *n*-fold-cross strategy. In this 483 scenario, IIWM can be used to optimize the data processing phase regarding the execution of the  $k \times n$ 4 84 classification algorithms (estimators first, transformers then) concurrently. The other phases, such as 485 data acquisition and partitioning, are out of our interest. The red box in Figure 9 shows the tasks of the 486 workflow that will be analyzed.



Figure 9. Ensemble learning workflow.

Figure 10 shows disk occupancy over time with 14 GB of RAM. Also in this case, IIWM avoided disk writes, while Full-Parallel registered a high level of disk usage. In particular, during the training phase, the parallel execution of the  $k \times n$  models (with k = 3 and n = 5) saturates memory with 15 concurrent tasks and generates disk writes up to 124 GB.



Figure 10. Disk usage over time for Full-Parallel and IIWM.

The results are detailed in Table 13, which shows a boost in execution time of almost 1.66x ( $p_{imp}$ ) and a 40% time reduction ( $m_{imp}$ ) with respect to Full-Parallel.

Strategy	Task-scheduling plan	Number of stages	Time (min.)	Peak disk usage (MB)	Writes duration (min.)	On-disk usage (MB)
Full-Parallel		2	$11.42\pm0.27$	124730.874	9.6	54443.186
IIWM	$\begin{array}{c}(t_{10}\parallel t_{12}\parallel t_{14}\parallel t_{16}\parallel t_{20}\parallel t_{22}\parallel t_{24}\parallel t_{26}\parallel t_{28}),\\(t_{18}\parallel t_{0}\parallel t_{2}\parallel t_{4}\parallel t_{6}\parallel t_{8}\parallel t_{11}\parallel t_{13}\parallel t_{15}\parallel t_{17}\parallel t_{21}\parallel t_{23}\parallel t_{25}\parallel t_{27}\parallel t_{29}),\\(t_{19}\parallel t_{1}\parallel t_{3}\parallel t_{5}\parallel t_{7}\parallel t_{9})\end{array}$	3	$6.88\pm0.1$	0	0	0

Table 13. Scheduling plan and statistics about execution times and disk usage with 14 GB of RAM.

The general trends varying the amount of available resources are also confirmed with respect to the previous examples, as shown in Figure 11.



Figure 11. Average peak disk usage and execution time, varying the size of available memory.

### 496 5. Conclusions and Final Remarks

Nowadays, data-intensive workflows are widely used in several application domains, such as 497 bioinformatics, astronomy, and engineering. This paper introduced and evaluated a system, named 498 Intelligent In-memory Workflow Manager (IIWM), aimed at optimizing the in-memory execution of 499 data-intensive workflows on high-performance computing systems. Experimental results suggest 5 0 0 that by jointly using a machine learning model for performance estimation and a suitable scheduling 5 01 strategy, the execution of data-intensive workflows can be significantly improved with respect to 502 state-of-the-art blind strategies. In particular, the main benefits of IIWM resulted when has been 503 applied to workflows having a high level of parallelism. In this case a significant reduction of memory 5 04 saturation has been obtained. Therefore it can be used effectively when multiple tasks have to be 5 0 5 executed on the same computing node, for example when they need to be run on multiple immovable 506 datasets located on a single node or due to other hardware constraints. In these cases, an uninformed 507 scheduling strategy will likely exceed the available memory, causing disk writes and therefore a 508

drop in performance. The proposed approach also showed to be a very suitable solution in scenarios
characterized by a limited amount of memory reserved for execution, thus finding possible applications
in data-intensive IoT workflows, where data processing is performed on constrained devices located
at the network edge.

IIWM has been evaluated against different scenarios concerning both synthetic and real data mining workflows, using Apache Spark as a testbed. Specifically, by accurately predicting resources used at runtime, our approach achieved up to 31% and 40% reduction of makespan and a performance improvement up to 1.45x and 1.66x for the synthetic workflows and the real case study respectively. In future work additional aspects of performance estimation will be investigated. For example,

the IIWM prediction model can be extended also to consider other common stages in workflows
besides data analysis, such as data acquisition, integration and reduction, and other information about
tasks, input data, and hardware platform features can be exploited in the scheduling strategy.

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